

Disclosure Form

Employee Name _____

Company Name: _____

Branch Location: _____

You are required to provide an explanation for any ‘yes’ answers. Include date, charge, agency, location, disposition, explanation as applicable. Use the attached page for your explanations.

Disclosure Items

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|----|--|----|--------------------------|--------------------------|
| a. | Have you ever been arrested, convicted of or pled guilty or nolo contendere (no-contest) to any felony or misdemeanor (excluding traffic citations) in a domestic, foreign or military court? Please explain in <u>detail</u> any arrests/convictions, including the jurisdictions and dispositions. Also, include any sealed or expunged convictions. | a. | <input type="checkbox"/> | <input type="checkbox"/> |
| b. | Have you ever entered into any settlement agreement with any federal or state agency? | b. | <input type="checkbox"/> | <input type="checkbox"/> |
| c. | Have you or any company in which you have ever held an ownership interest had a license or registration denied, suspended or revoked by a financial services or securities licensing agency in this state or any state, district, territory of the United States or any foreign in the preceding 10 years? | c. | <input type="checkbox"/> | <input type="checkbox"/> |
| d. | Have you filed a bankruptcy petition or been subject of an involuntary bankruptcy petition for an organization under your control or made a compromise with creditors within the past 10 years? | d. | <input type="checkbox"/> | <input type="checkbox"/> |
| e. | Has a bonding company ever denied, paid out on, or revoked a bond for you or any company in which you are or have ever been an owner? | e. | <input type="checkbox"/> | <input type="checkbox"/> |
| f. | Do you or any company in which you have ever held an ownership interest have any unsatisfied judgments or liens? | f. | <input type="checkbox"/> | <input type="checkbox"/> |
| g. | Do you have a relative that is or has been associated with the business? (NRS 645B.0131 Relative means a spouse or any other person related within the second degree by blood or marriage) | g. | <input type="checkbox"/> | <input type="checkbox"/> |
| h. | Have you or any company in which you have ever held an ownership interest had a civil or criminal record expunged or sealed by a court order? | h. | <input type="checkbox"/> | <input type="checkbox"/> |
| i. | Have you ever had a privileged or professional license in any state denied, suspended or revoked? | i. | <input type="checkbox"/> | <input type="checkbox"/> |
| j. | Are you subject to any pending actions that could result in a ‘yes’ answer to any of the above questions? | j. | <input type="checkbox"/> | <input type="checkbox"/> |

By signing below, I represent that I personally have completed this form and verify the information contained herein is accurate.

Signature

_____ Title _____

Print Name: _____

Date _____